



# Bootkit's development overview and trend

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## Abstract

Windows bootkit's development speed is rapid. It has developed from initial POC (Proof-of-Concept) stage to current having several stable virus families. Bootkit's infection measures also extended to BIOS chips, disk MBR, VBR and etc. All these have brought challenges for the security of system boot and kernel entrance. So how to find advantages in the confrontation with bootkit is the problem we have to face in the future.

## Introduction

In 2005, the company, eEye Digital, first brought in the idea of bootkit, which stands for boot rootkit, in their project, 'BootRoot' [1]. Generally speaking, one rootkit which is loaded earlier than Windows kernel could be considered as a bootkit. So in this paper, all the mentioned Bootkit viruses use this definition.

After Phanta, also known as *GhostShadow*, first generation bootkit appeared in China in March 2010, Chinese bootkits entered a period of development. So far we already found 5 kinds of relative Phanta variations. Their infection measures, code obfuscation tricks and self-protection approaches have big improvements. As with the development of global bootkit viruses, such as TDSS and Rovnix bootkit families, the trend of bootkit learning from each other becomes more obvious. So in this paper, we will first review the development status of bootkits all over the world from 2010. Then we will have a targeted introduction of Chinese bootkits.

## 1. Technical overview of bootkits for last three year

### 1.1 Bootkits in 2010

**1.1.1 Phanta 1** As we mentioned above, Phanta 1 should be considered as the first bootkit virus in China. After system is infected by Phanta 1, the malicious MBR copies virus data to the end of real-mode memory and hooks *int 13h* interruption. Then copies the original MBR code to the address 0x7c00 then passes the control to it.

When the boot code reads the file *ntldr* by invoking *int 13h* interruption, Phanta 1 gets the control. It searches for the signature, 0x74f685f0 and 0x3d80, in the function *BILoadBootDrivers* of *ntldr*. If the signature is found, Phanta 1 hooks the next line of code below the signature.

```

PHYSMEM:00422A6A call    near ptr unk_423D31
PHYSMEM:00422A6F call    off_97400
PHYSMEM:00422A75 cmp     byte_43AEF8, 0
PHYSMEM:00422A7C jz      short loc_422A85
PHYSMEM:00422A7E xor     esi, esi
PHYSMEM:00422A80 jmp     loc_422CDA

```

} jmp virus code

Fig.1 Phanta 1 hooks *ntldr*

When function *off\_97400* is called, Phanta 1 gets the image base of *ntoskrnl* and parses its PE structure to find the section with the parameter 0x20000000. After the section is found, Phanta 1 copies its 4 sectors' virus codes to that area. Then Phanta 1 parses the Export Table to address the function *PsCreateSystemProcess* to hook the function *PspCreateProcess*.

```

kd> u
nt!PsCreateSystemProcess+0xb:
805c6cc9 50          push     eax
805c6cca ff354cea6680 push   dword ptr [nt!PspInitialSystemProcessHandle (8066ea4c)]
805c6cd0 ff7510     push   dword ptr [ebp+10h]
805c6cd3 ff750c     push   dword ptr [ebp+0Ch]
805c6cd6 ff7508     push   dword ptr [ebp+8]
805c6cd9 e876f6ffff call    nt!PspCreateProcess (805c6354)
805c6cde 5d         pop     ebp
805c6cdf c20c00     ret     0Ch

```

inline hook

```

kd> u 0x805C6354
nt!PspCreateProcess:
805c6354 681c010000 push   11Ch
805c6359 6890ae4d80 push   offset nt!ObWatchHandles+0x664 (804dae90)
805c635e e87d1df7ff call   nt!_SEH_prolog (805380e0)
805c6363 64a124010000 mov    eax,dword ptr fs:[00000124h]
805c6369 89857cffffff mov    dword ptr [ebp-84h],eax
805c636f 8a8840010000 mov    cl,byte ptr [eax+140h]
805c6375 884ddf     mov    byte ptr [ebp-21h],cl
805c6378 8b4044     mov    eax,dword ptr [eax+44h]

```

inline hook jmp virus code

Fig.2 hook *PsCreateProcess*

After a new process is being created, Phanta 1 gets the control again. It checks the PID of created process. If the PID equals 4, meaning the process is *system.exe*, Phanta 1 then loads its virus driver.

On the whole, Phanta 1 is an imitation of *Mebroot*, specifically in the malicious MBR code, the way to patch *ntldr* and load virus driver. For example, Phanta 1 uses the same signature as *Mebroot* to search for the address to patch *ntldr*. (0x74f68f50 and 0x3d80 are the signatures)

```

seg000:0119 F2 AE
seg000:011B 75 61
seg000:011D 90
seg000:011E 26 66 81 3D F0+
seg000:0126 75 F1
seg000:0128 26 81 7D 05 80+
seg000:012E 75 E9
seg000:0130 26 8A 45 04
seg000:0134 3C 21
seg000:0136 74 04
seg000:0138 3C 22
seg000:013A 75 DD
seg000:013C
seg000:013C loc_13C:
seg000:013C BE 33 04
seg000:013F 2E 80 3C 03
seg000:0143 73 27
seg000:0145 2E 80 04 01
seg000:0149 2E 88 44 FD
seg000:014D 26 C7 45 FF FF+
seg000:0153 66 8C C8
seg000:0156 66 C1 E0 04
seg000:015A 05 04 04

repne scasb
jnz short loc_17E
nop
cmp dword ptr es:[di], 74F685F0h
jnz short loc_119
cmp word ptr es:[di+5], 3D80h
jnz short loc_119
mov al, es:[di+4]
cmp al, 21h ; '?'
jz short loc_13C
cmp al, 22h ; ''''
jnz short loc_119

mov si, 433h
cmp byte ptr cs:[si], 3
jnb short loc_16C
add byte ptr cs:[si], 1
mov cs:[si-3], al
mov word ptr es:[di-1], 15FFh
mov eax, cs
shl eax, 4
add ax, 404h

```

Fig.3 *Mebroor*'s MBR code

```

seg000:00A9 F2 AE
seg000:00AB 75 47
seg000:00AD 66 26 81 3D F0+
seg000:00B5 75 F2
seg000:00B7 26 81 7D 05 80+
seg000:00BD 75 EA
seg000:00BF 26 8A 45 04
seg000:00C3 3C 21
seg000:00C5 74 04
seg000:00C7 3C 22
seg000:00C9 75 DE
seg000:00CB
seg000:00CB loc_CB:
seg000:00CB BE 0B 02
seg000:00CE 2E 80 3C 00
seg000:00D2 75 20
seg000:00D4 2E 88 04
seg000:00D7 26 C7 45 FF FF+
seg000:00DD 66 8C C8
seg000:00E0 66 C1 E0 04
seg000:00E4 05 00 02
seg000:00E7 66 2E A3 FC 01

repne scasb
jnz short loc_F4
cmp dword ptr es:[di], 74F685F0h
jnz short loc_A9
cmp word ptr es:[di+5], 3D80h
jnz short loc_A9
mov al, es:[di+4]
cmp al, 21h ; '?'
jz short loc_CB
cmp al, 22h ; ''''
jnz short loc_A9

mov si, 20Bh
cmp byte ptr cs:[si], 0
jnz short loc_F4
mov cs:[si], al
mov word ptr es:[di-1], 15FFh
mov eax, cs
shl eax, 4
add ax, 200h
mov cs:dword_1FC, eax

```

Fig. 4 Phanta 1's MBR code

In code layout aspect, Phanta 1 also imitates *Mebroor*'s structure.

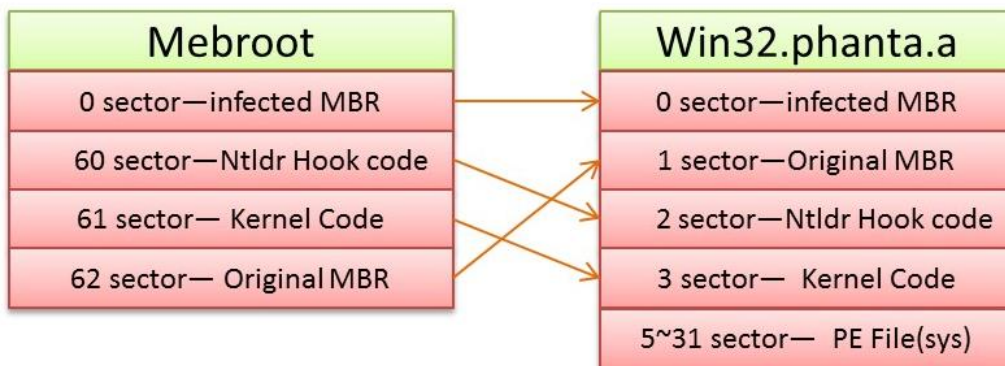


Fig. 5 contrast between the code layouts of *Mebroot* and Phanta 1.

Like *Mebroot*, Phanta 1 only infects 32-bit Windows XP.

**1.1.2 TDL-4** Also known as *Alureon* and *Olmarik*, TDL-4 is the 4<sup>th</sup> generation of TDSS bootkit family. Compared with earlier versions, TDL-4 has big improvements and indeed brings an evolution in bootkit development process.

TDL-4 firstly came into our eyes in August, 2010. Then it has been consistently in the wild until the end of year 2011. But the main functions keep almost the same except the payload.

As with previous versions, TDL-4 makes use of a configuration file, *cfg.ini*, to handle the communications between user mode and kernel mode. Below is the *cfg.ini* we found at the very beginning when TDL-4 was found.

```
[main]
version=0.02
aid=30002
sid=0
builddate=4096
rnd=1060284298
knt=1282585731
[inject]
*=cmd.dll
[cmd]
srv=https://68b6b6b6.com/;https://61.61.20.132/;https://3
wsrv=http://rudolfdisney.com/;http://crozybanner.com/;htt
psrv=http://cri71ki813ck.com/
version=0.11
bsh=4bc7a130e66499d688ad31a16f68d75e597c9cc8
delay=7200
csrv=http://lkcklckl1i1i.com/
[tasks]
```

Fig. 6 TDL-4 Found in August 2010

TDL-4 takes advantage of a lot of first seen techniques. It's the first rootkit virus compatible with all versions of Windows, including 64-bit Windows 7. Below is the TDL-4's boot process.

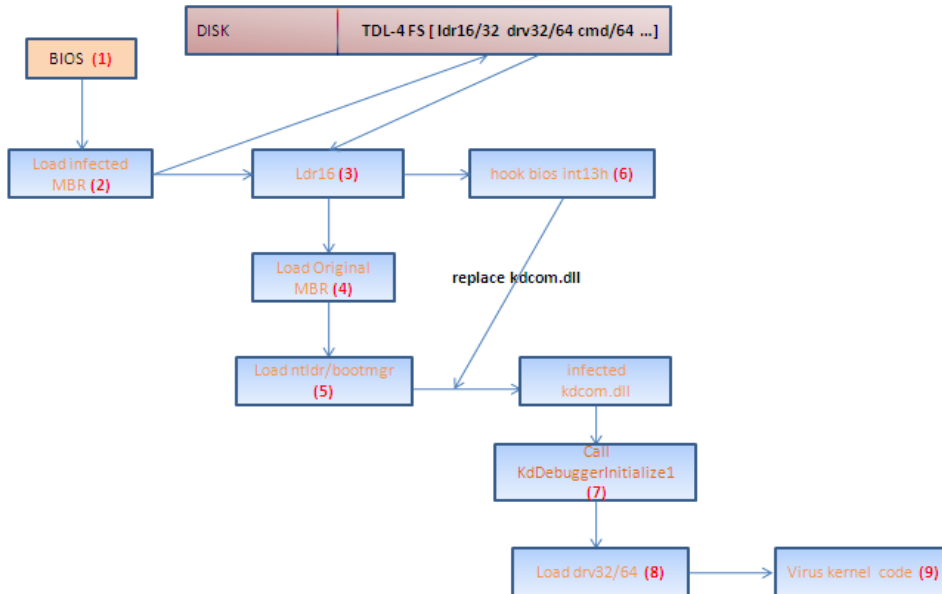


Fig. 7 TDL-4 boot process

In order to bypass *PatchGuard* in 64-bit systems and avoid being debugged, TDL-4's real-mode loader module, *ldr16*, hijacks *kdc.com.dll* with *ldr32* or *ldr64*, depending on Windows platform. After *ldr32/64* is loaded and the exported function, *KdDebuggerInitialize1*, is called, an image notification routine is set by calling *PsSetLoadImageNotifyRoutine*. In this routine, TDL-4 uses an undocumented function, *IoCreateDriver*, to create a driver object. In this driver object's *DriverEntry* function, a PnP notification routine is registered by calling *IoRegisterPlugPlayNotification*. When this PnP notification routine is invoked, TDL-4 searches its own file system for its main rootkit driver module, *drv32/64* and then load them.

```
.text:1000177E          public KdDebuggerInitialize1
.text:1000177E KdDebuggerInitialize1 proc near          ; DATA XREF: .text:off_10001058↑
.text:1000177E          push   offset NotifyRoutine ; NotifyRoutine
.text:10001783          call   PsSetLoadImageNotifyRoutine
.text:10001789          retn   4
.text:10001789 KdDebuggerInitialize1 endp
```

Fig. 8 set an image notification routine

```
.text:1000174F ; void __stdcall NotifyRoutine(PUNICODE_STRING, I
.text:1000174F NotifyRoutine proc near          ; DATA XI
.text:1000174F          ; KdDebug
.text:1000174F          cmp     dword_100017C4, 0
.text:10001756          jnz    short locret_1000176F
.text:10001758          push   offset sub_100016EE
.text:1000175D          push   0
.text:1000175F          call   IoCreateDriver
.text:10001765          mov     dword_100017C4, 1
-----
```

Fig. 9 a driver object is created in the routine

TDL-4's self-protection approaches are complicated, including adding system callbacks,

hijacking Dr0, hooking *DriverStartIo* routine of Atapi driver, using kernel work item thread to protect hooked functions. This makes it difficult to clean TDL-4 completely.

## 1.2 Bootkits in 2011

2011 is the year of concentrated outbreak of bootkits. There are several important bootkit families coming out, including ZeroAccess, Phanta and TDSS.

**1.2.1 Phanta 2** Phanta 2 first appeared in March 2011. Compared with Phanta 1, Phanta 2 has below major changes:

1. Malicious MBR code is obfuscated so that it becomes more difficult to analyze statically.
2. Virus data written to disk's first 6 sectors is encrypted.
3. Directly overwrite %systemroot%\system32\drivers\hips.sys instead of hooking *PspCreateProcess* to load virus driver.

**1.2.2 Phanta 3** Phanta 3 appeared in May, 2011. Compared with Phanta 2, Phanta 3 pays attention to protect the malicious MBR. It learns from TDL-4's framework. But it implements these functions in a simplified way.

1. Phanta 3 encrypts and stores original MBR and the code of patching *ntldr* at the end of disk. It stores nothing in the first 64 sectors of the disk any more.
2. It hooks *DriverStartIo* dispatch function of the driver Atapi or SCSI to protect malicious MBR instead of hooking reading and writing dispatch function of *disk.sys* which *Mebroot* used.
3. It replaces beep.sys with malicious driver, hello\_tt.sys.

```
kd> db ffd0a81
ffd0a81  4a 00 4c 00 89 0a df ff-5c 00 53 00 79 00 73 00  J.L.....\S.y.s.
ffd0a91  74 00 65 00 6d 00 52 00-6f 00 6f 00 74 00 5c 00  t.e.m.R.o.o.t.\.
ffd0aa1  73 00 79 00 73 00 74 00-65 00 6d 00 33 00 32 00  s.y.s.t.e.m.3.2.
ffd0ab1  5c 00 64 00 72 00 69 00-76 00 65 00 72 00 73 00  \.d.r.i.v.e.r.s.
ffd0ac1  5c 00 62 00 65 00 65 00-70 00 2e 00 73 00 79 00  \.b.e.e.p...s.y.
ffd0ad1  73 00 00 00 4d 5a 90 00-03 00 00 00 04 00 00 00  s...MZ.....
ffd0ae1  ff ff 00 00 b8 00 00 00-00 00 00 00 40 00 00 00  .....@....
ffd0af1  00 00 00 00 00 00 00 00-00 00 00 00 00 00 00 00  .....
```

Fig. 10 replace beep.sys

**1.2.3 TDL-4 version 0.31.** We captured TDL-4's upgraded variations in August 2011. Its main module's version is 0.03. And the payload's version is 0.31. Still, compared with earlier variations, nothing big changed except payload.

```

[main]
version=0.03
aid=30018
sid=1
builddate=351
rnd=492894223
[inject]
*=cmd.dll
* (x64)=cmd64.dll
[cmd]
srv=https://lo4undreyk.com/;https://sh01cilewk.cc
wsrv=http://gnarenyawr.com/;http://rinderwayr.com
psrv=http://crj71ki813ck.com/
version=0.175

```

Fig. 11 TDL-4 variation found in May 2011

```

[main]
version=0.03
aid=66671
sid=0
builddate=351
installdate=18.9.2011 14:33:4
rnd=979243912
[inject]
*=cmd.dll
* (x64)=cmd64.dll
[cmd]
srv=https://lo4undreyk.com/;https://sh01cilewk.com/;htt
wsrv=http://gnarenyawr.com/;http://rinderwayr.com/;http
psrv=http://crj71ki813ck.com/
version=0.31

```

Fig. 12 TDL-4 variation found in September 2011

```

.text:100017B0 avg_work_item proc near ; DATA XREF: KdDebuggerInitialize1+15
.text:100017B0
.text:100017B0 Event = _KEVENT ptr -18h
.text:100017B0 Timeout = LARGE_INTEGER ptr -8
.text:100017B0
.text:100017B0 push ebp
.text:100017B1 mov ebp, esp
.text:100017B3 sub esp, 18h
.text:100017B6 push esi
.text:100017B7 xor esi, esi
.text:100017B9 push esi ; State
.text:100017BA push esi ; Type
.text:100017BB lea eax, [ebp+Event]
.text:100017BE push eax ; Event
.text:100017BF call KeInitializeEvent
.text:100017C5 jmp short loc_100017EE
.text:100017C7 ; -----
.text:100017C7
.text:100017C7 loc_100017C7: ; CODE XREF: avg_work_item+44↓j
.text:100017C7 push offset NotifyRoutine ; NotifyRoutine
.text:100017CC call PsSetCreateThreadNotifyRoutine

```

Fig. 13 image notification routine is changed into thread notification routine.

**1.2.4 ZeroAccess** ZeroAccess, also known as *Max++*, firstly came into our eyes in August 2011. Till now while this paper is being written, we could still hear ZeroAccess's traces in the wild.

ZeroAccess is different from other bootkits mentioned in this paper because it doesn't

modify system's bootstrap code. ZeroAccess's dropper chooses a random driver between *classnp.sys* and *win32k.sys* to infect in overwriting way. Then use *ZwLoadDriver* to load the driver. This driver is obfuscated and packed. This is quite rare among the virus drivers we've ever seen as packing in kernel mode might cause unpredictable issues. The original virus driver is stored in the packed driver's body. After decompressed into the memory, we could see the original driver's file image.

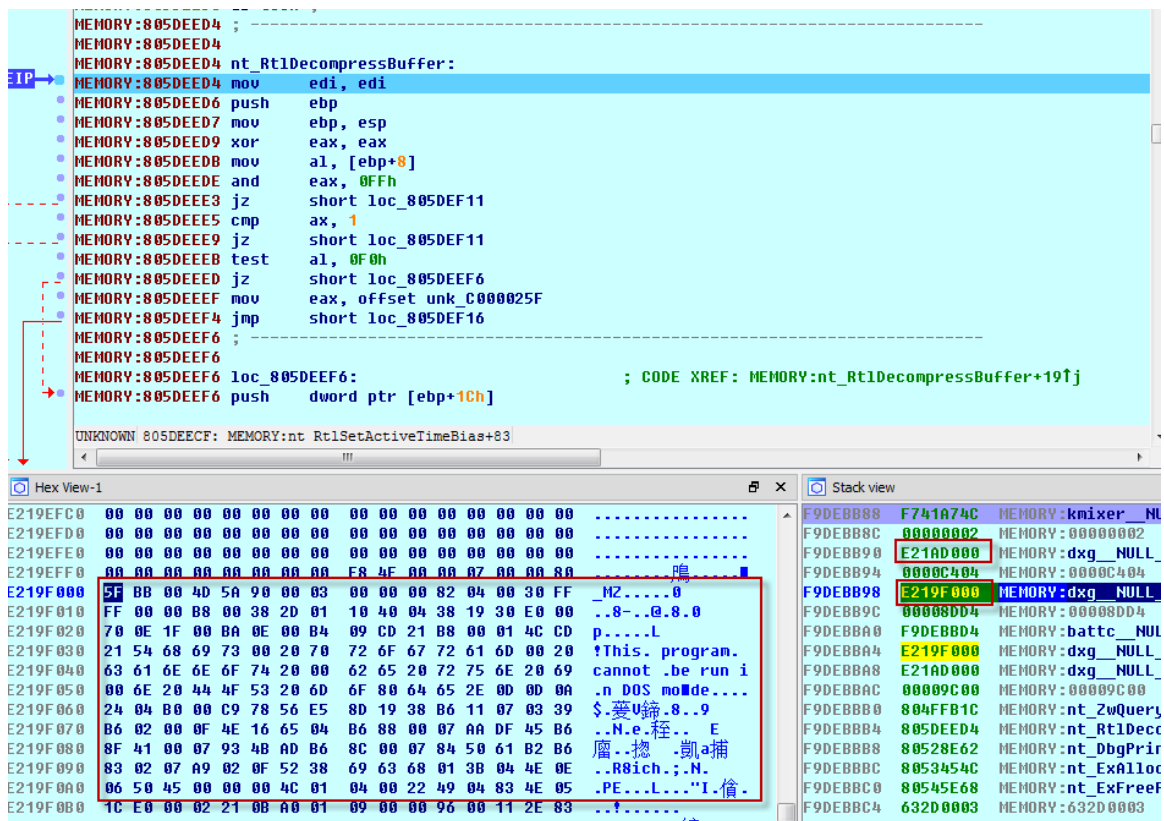


Fig. 14 decompress original driver's body

After mapping the file image into memory, the packed driver will search the PE structure to find the entry point of the original driver.



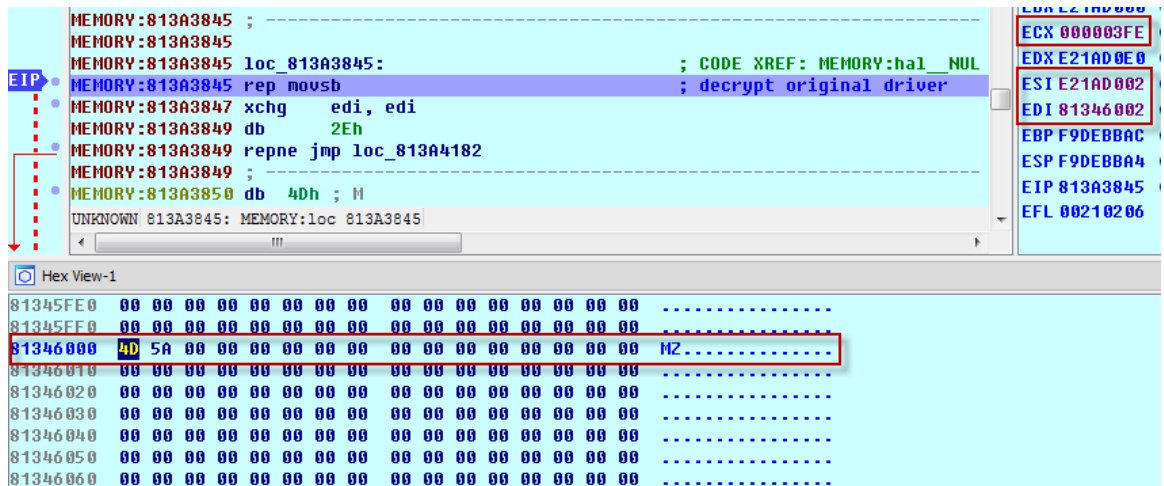


Fig. 15 memory relocation

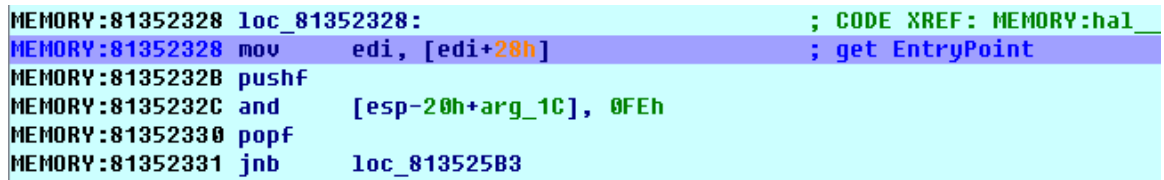


Fig.16 get the entry point

After entering the original virus driver's code space, ZeroAccess creates a device object to store its virus components and communicate with user mode.

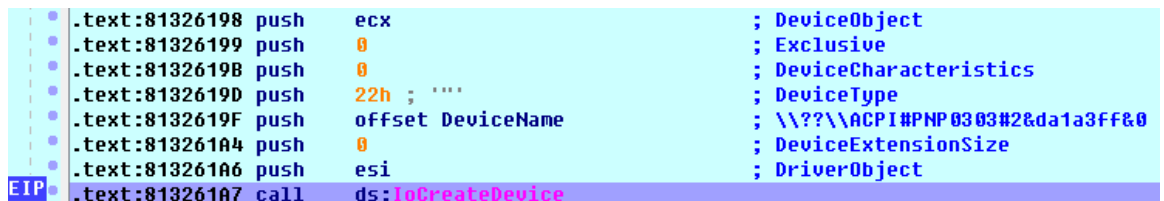


Fig.17 create the device object (22h stands for FILE\_DEVICE\_UNKNOWN)

Then it creates an IRP hooking driver to hijack *disk.sys*.

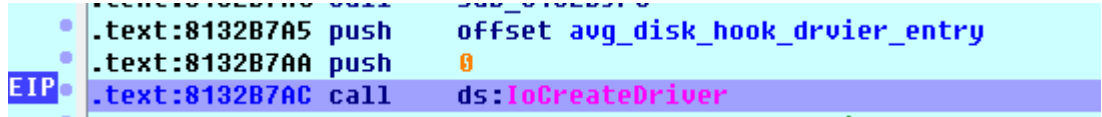


Fig. 18 create IRP hooking driver

```

.text:8132B470 ; int __stdcall avg_disk_hook_drvier_entry(PDRIVER_OBJECT DriverObject, int)
.text:8132B470 avg_disk_hook_drvier_entry proc near ; DATA XREF: DriverEntry+175j0
.text:8132B470
.text:8132B470 DeviceObject= dword ptr -40Ch
.text:8132B470 var_408= dword ptr -408h
.text:8132B470 Object= dword ptr -404h
.text:8132B470 var_400= byte ptr -400h
.text:8132B470 DriverObject= dword ptr 4
.text:8132B470
.text:8132B470 sub esp, 40Ch
.text:8132B476 push edi
.text:8132B477 mov edi, [esp+410h+DriverObject]
.text:8132B47E mov eax, offset sub_8132AE90
.text:8132B483 add edi, 38h ; '8'
.text:8132B486 mov ecx, 1Ch
.text:8132B48B rep stosd
.text:8132B48D mov ecx, ds:IoDriverObjectType
.text:8132B493 mov edx, [ecx]
.text:8132B495 lea eax, [esp+410h+Object]
.text:8132B499 push eax
.text:8132B49A push 0
.text:8132B49C push 0
.text:8132B49E push edx
.text:8132B49F push 0
.text:8132B4A1 push 0
.text:8132B4A3 push 40h ; '@'
.text:8132B4A5 push offset us_DriverDisk ; \\driver\\Disk
.text:8132B4AA call ds:ObReferenceObjectByName

```

Fig.19 hook *disk.sys*

Besides these, ZeroAccess also creates other system threads, APC calls and timers. All these together make it difficult to remove ZeroAccess completely.

**1.2.5 TDL-MaxSS** TDL-MaxSS came out in November 2011. It's considered as the upgraded version of TDL-4. Compared with TDL-4, MaxSS improves the way to infect MBR. It no longer overwrites original MBR directly. Instead, it modifies DPT (Disk Partition Table) and points it to virus code. In other words, MaxSS forges a new boot partition.

<pre> 00000130 6C 69 64 20 70 61 72 74 69 74 69 6F 6E 20 74 61 lid partition ta 00000140 62 6C 65 00 45 72 72 6F 72 20 6C 6F 61 64 69 6E ble.Error loadin 00000150 67 20 6F 70 65 72 61 74 69 6E 67 20 73 79 73 74 g operating syst 00000160 65 6D 00 4D 69 73 73 69 6E 67 20 6F 70 65 72 61 em.Missing opera 00000170 74 69 6E 67 20 73 79 73 74 65 6D 00 00 00 00 00 ting system..... 00000180 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 00000190 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....normal 000001a0 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 000001b0 00 00 00 00 00 2C 44 63 9C 8B 9C 8B 00 00 80 01 .....,Dcæææ... 000001c0 01 00 07 7F BF 06 3F 00 00 00 41 DC 3F 00 00 00 ...¿.?....AÜ?. 000001d0 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 000001e0 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 000001f0 00 00 00 00 00 00 00 00 00 00 00 00 00 55 AA .....U* </pre>	<pre> 00000130 6C 69 64 20 70 61 72 74 69 74 69 6F 6E 20 74 61 lid partition ta 00000140 62 6C 65 00 45 72 72 6F 72 20 6C 6F 61 64 69 6E ble.Error loadin 00000150 67 20 6F 70 65 72 61 74 69 6E 67 20 73 79 73 74 g operating syst 00000160 65 6D 00 4D 69 73 73 69 6E 67 20 6F 70 65 72 61 em.Missing opera 00000170 74 69 6E 67 20 73 79 73 74 65 6D 00 00 00 00 00 ting system..... 00000180 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 00000190 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 .....infected 000001a0 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 000001b0 00 00 00 00 00 2C 44 63 9C 8B 9C 8B 00 00 00 01 .....,Dcæææ... 000001c0 01 00 07 7F BF 06 3F 00 00 00 41 DC 3F 00 00 00 ...¿.?....AÜ?.ep 000001d0 FF FF 1B FE FF FF 80 DC 3E 00 60 23 00 00 00 00 yy.pyyæ0?.#.... 000001e0 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 ..... 000001f0 00 00 00 00 00 00 00 00 00 00 00 00 00 55 AA .....U* </pre>
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Fig. 20 contrast between normal DPT and MaxSS infected DPT

This is a creation in bootkit development process. As a result, security tools could not only use simple signature matching to check for MBR infection.

**1.2.6 Phanta 4** Phanta 4 is also known as *Bioskit* or *Win32/Wapomi.e*. Before 2011, Bioskit yet remained in the conceptual stage. Although some researchers provided ways to attack BIOS in Blackhat 07 [2] and CanSecWest 09 [3], there are difficulties in actual operation. In September 2011, a bioskit virus which targeted Award BIOS appeared in China. That's Phanta 4.

For Award BIOS computers, Phanta 4 infects BIOS by inserting a malicious ISA module. For non-Award BIOS ones, Phanta 4 modifies MBR in common bootkit way.

First, Phanta 4 makes use of `cbrom.exe` to insert the malicious ISA module, `hook.rom`, into Award BIOS.

```

.text:00401B53 68 E0 40 40 00      push    offset aCbrom_exe      ; "cbrom.exe"
.text:00401B58 50                  push    eax                    ; Dest
.text:00401B59 E8 B8 12 00 00      call   strcat
.text:00401C28 68 BC 40 40 00      push    offset a$SI$aS        ; "%s %s /isa %s"
.text:00401C2D 50                  push    eax                    ; Dest
.text:00401C2E FF 15 E0 30 40 00  call   ds:sprintf

```

Fig. 21 use `cbrom.exe` to insert `hook.rom`

Second, Phanta 4 replaces `beep.sys` with its virus driver to check BIOS type, backup original BIOS and flash BIOS.

```

NTSTATUS __stdcall avg_dispatch_device_control(int a1, PIRP Irp)
{
    IO_STACK_LOCATION *ioStackLoaction; // eax@1
    int nCtrlCode; // eax@2
    signed int nStatus; // eax@5
    NTSTATUS v6; // edi@9

    ioStackLoaction = (IO_STACK_LOCATION *)Irp->Tail.Overlay.CurrentStackLocation;
    Irp->IoStatus.Status = 0;
    Irp->IoStatus.Information = 0;
    if ( ioStackLoaction->MajorFunction == 0xE )
    {
        nCtrlCode = *(_DWORD *)&ioStackLoaction->Parameters.Create.FileAttributes;
        if ( nCtrlCode == 0x80102180 )
        {
            nStatus = avg_backup_bios();
            goto STATUS_UPDATE;
        }
        if ( nCtrlCode == 0x80102184 )
        {
            nStatus = avg_flash_bios();
            goto STATUS_UPDATE;
        }
        if ( nCtrlCode == 0x80102188 )
        {
            nStatus = avg_check_award_bios();
STATUS_UPDATE:
            Irp->IoStatus.Status = nStatus;
            goto COMPLETE;
        }
    }
COMPLETE:
    v6 = Irp->IoStatus.Status;
    IoCompleteRequest(Irp, 0);
    return v6;
}

```

Fig. 22 virus driver's device control dispatch routine

When the compromised system restarts, malicious `hook.rom` runs before MBR. It first checks

whether MBR is infected.



Fig. 23 check MBR infection

If the MBR is not infected, *hook.rom* infects it. The malicious MBR code loads DBR (DOS Boot Record) to the address 0x7c00 and checks the file system format of disk's boot partition. Then parse the boot partition to search for *winlogon.exe* or *wininit.exe*. Afterwards, patch *winlogon.exe/wininit.exe* and print 'Find it OK!'

```

debug001:104C loc_104C: ; CODE XREF: debug001:0969Tp
debug001:104C ; debug001:0038Tp
debug001:104C push si
debug001:104D push bx
debug001:104E mov si, ax
debug001:1050
debug001:1050 loc_1050: ; CODE XREF: debug001:105Ej
debug001:1050 mov al, [si]
debug001:1052 cmp al, 0
debug001:1054 jz short loc_1054 ; [si]-[debug001:0694]
debug001:1056 mov ah, 0Eh db 46h : F
debug001:1058 mov bx, 55h db 69h : i
debug001:1058 int 13h db 6Eh : n
debug001:1058 db 5Ah : d
debug001:1058 db 20h :
debug001:105D inc si db 69h : i
debug001:105E jmp short loc_105E db 74h : t
debug001:1060 : db 20h :
debug001:1060 db 4Fh : 0
debug001:1060 loc_1060: db 48h : K
debug001:1060 pop bx db 21h : t
debug001:1061 pop si
debug001:1062 retn

```

```

Flex86/Bochs UGABios 0.6c 08 Apr 2009
This UGA/UBE Bios is released under the GNU LGPL.

Please visit :
. http://bochs.sourceforge.net
. http://www.nongnu.org/ugabios

Bochs UBE Display Adapter enabled

Bochs BIOS - build: 02/10/11
$Revision: 1.257 $ $Date: 2011/01/26 09:52:02 $
Options: apmbios pcibios pnpbios eltorito rombios32

ata0 master: Generic 1234 ATA-6 Hard-Disk (2047 MBytes)
ata0 slave: Generic 1234 ATAPI-4 CD-Rom/DVD-Rom

Press F12 for boot menu.

Booting from Hard Disk...
Find it OK!

```

Fig. 24 print 'Find it ok!'

### 1.3 Bootkits in 2012

**1.3.1 Rovnix.** Earlier Rovnix variations looked like a fully upgraded version of TDL-4. Its inside modules are designed separately to infect 32-bit and 64-bit Windows.

Rovnix infectes VBR(Volume Boot Record). In malicious VBR code, Rovnix hooks *int 13h* interruption function to patch *ntldr* or *bootmgr*. After patching, it injects malicious codes into *ntoskrnl.exe*'s memory to load virus driver.

```

seg000:0514 hook_int13      proc far
seg000:0514
seg000:0514 ; FUNCTION CHUNK AT seg000:0000 SIZE 00000008 BYTES
seg000:0514
seg000:0514         pushf
seg000:0515         cmp     ah, 2
seg000:0518         jz     short loc_525
seg000:051A         cmp     ah, 42h ; 'B'
seg000:051D         jz     short loc_525
seg000:051F         popf
seg000:0520         jmp     ori_int13

seg000:055B loc_55B:
seg000:055B         mov     al, 0Fh ; CODE XREF: hook_int13+57↓j
seg000:055D         repne scasb ; scan bootmgr signature
seg000:055F         jcxz   short loc_573
seg000:0561         mov     eax, es:[di]
seg000:0565         cmp     eax, 00B87C022h ; mov eax,cr0
seg000:0568         jnz   short loc_55B ; scan bootmgr signature
seg000:056D         mov     bp, 7E4h
seg000:0570         call   bp ; run_obs_code
seg000:0572         push  cs

```

Fig. 25 patch *ntldr/bootmgr*

Rovnix's boot loader is highly obfuscated. Its code is divided into many small blocks. Each snippet is connected with others with *jmp* or a meaningless *call* function. And Rovnix's each variation's boot loader is different from others. This makes it difficult to analyze and detect.

```

seg000:026A
seg000:026A 0E
seg000:026B E8 00 00
seg000:026E 58
seg000:026F EB 47
seg000:0271
seg000:0271
seg000:0271 B9 69 04
seg000:0274
seg000:0274 AD
seg000:0275 33 C2
seg000:0277 EB 46
seg000:0279
seg000:0279
seg000:0279 03 F5
seg000:027B 5D
seg000:027C CB

```

```

vbr_start      proc far
                push  cs
                call  $+3
                pop   ax
                jmp   short loc_2B8

```

block 1

---

```

loc_271:
                mov   cx, 469h

```

block 2 ; CODE XREF: vbr\_start+4C↓j  
; cx -- copy data length

```

loc_274:
                lodsw
                xor   ax, dx
                jmp   short loc_2BF

```

block 3 ; CODE XREF: vbr\_start+56↓j  
; ds:si == 0xd000:2d2

---

```

loc_279:
                add   si, bp
                pop   bp
                retf

```

block 4 ; CODE XREF: vbr\_start+3C↓j  
; jmp 9f00:00ae

Fig. 26 Rovnix's boot loader code snippet

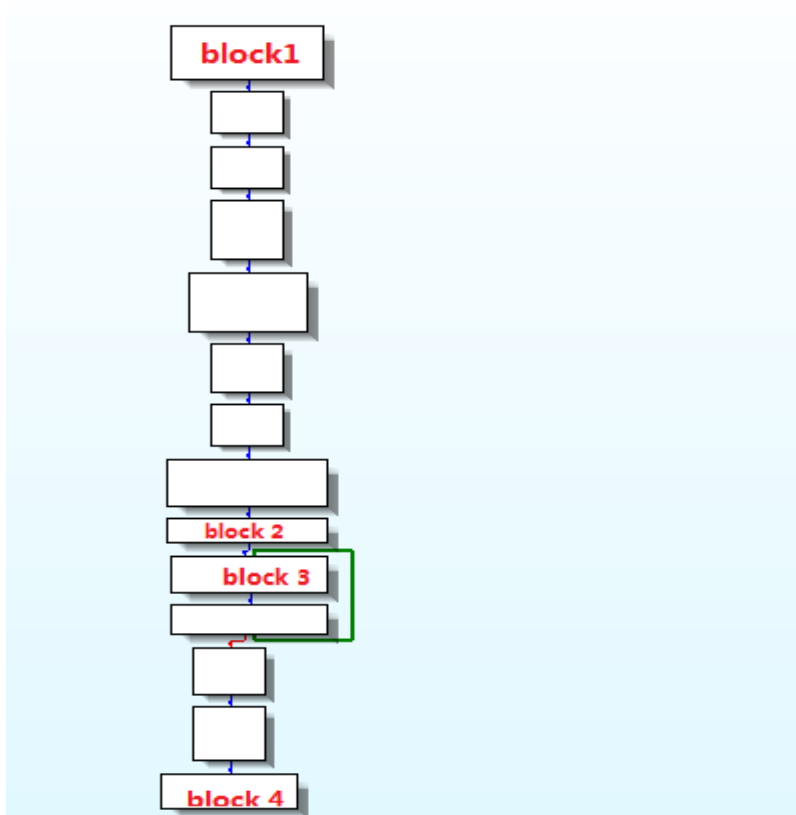


Fig. 27 Rovnix's boot loader real working flow

**1.3.2 Plite** Plite is a special bootkit family. After infecting MBR, Plite parses FAT/NTFS file system to locate and overwrite *explorer.exe*. This is nothing new as Phanta 4 behaves in the same way. Why Plite is special is because its modules are developed in several different languages. Its dropper is written in C#. The dropped file is developed in Delphi. And the boot loader module is compiled with Microsoft FORTRAN compiler.

We could see some debugging information in boot loader.

```

seg000:0767          jnz     short loc_77C
seg000:0769          push    1
seg000:076B          push    0Fh
seg000:076D          push    0
seg000:076F          push    ds
seg000:0770          push    offset aReadMbrSectorF ; "\r\nRead Mbr Sector failed!!!"
seg000:0773          call   avg_output_string
seg000:0776          add     sp, 0Ah
seg000:0779          jmp     short loc_7CB
seg000:0779 ; -----
seg000:077B          db     90h ;
seg000:077C ; -----

```

Fig. 28 boot loader code snippet

Address	Length	Type	String
seg000:6918	0000002B	C	\r\n ----- NTFS_ReplaceFileData -----
seg000:6943	0000000C	C	\r\nNot Found
seg000:694F	00000015	C	\r\nDirectory Rec No:
seg000:6964	00000011	C	\r\n File Rec No:
seg000:6975	0000001D	C	\r\nReading File Record failed
seg000:6997	00000021	C	((( H
seg000:6AC0	00000009	C	<<NMSG>>
seg000:6ACA	0000001A	C	R6000\r\n- stack overflow\r\n

Fortran compiler warning

Fig. 29 boot loader compilation information

**1.3.3 Phanta 5** (Phanta's latest version, also known as *Win32/Wapomi.f*) In July 2012, several new variations of Phanta family quickly came out in China. Phanta 5 encrypts and stores its malicious modules in its resource section. Below we could see the differences between two variations we captured in July 2012.

The image shows two screenshots of a hex editor. The top screenshot is for 'bootkit\_dropper7.16' dated '2012.07.16'. It shows a directory tree with files 101, 111, and 112. The hex view shows offsets from 00000000 to 00000060 with corresponding ASCII values. The bottom screenshot is for 'bootkit\_dropper7.25.ex\_' dated '2012.07.25'. It shows a directory tree with files 101, 111, 112, and 113. The hex view shows offsets from 00000000 to 00000060 with corresponding ASCII values. A red arrow points from the date '2012.07.25' to the hex view of the second screenshot.

Fig. 30 Phanta 5 module differences

Compared with earlier versions, Phanta 5 has below major improvements:

1. Dropper injects *explorer.exe* process to drop a random driver file, *x\_random.sys*. Then hijacks below services to load virus driver.

new 2
1 6to4.dll, appmgmts.dll Ias.dll Iprrip.dll irmon.dll mspmnsrv.dll ntmsvc.dll NWCWorkstation.dll
2 Nwsapagent.dll pchsvc.dll qmgr.dll tapisrv.dll upnphost.dll WcmdmPmSp.dll xmlprov.dll

Fig. 31 hijacked service list

2. Driver *x\_random.sys* hooks *DriverStartIO* dispatch routine of Atapi/SCSI driver to protect MBR.



3. MBR loads another driver to hook reading and writing dispatch routines of *disk.sys* in order to protect MBR doubly.
4. *X\_random.sys* hooks SSDT functions to stop AV services.

```

MmBuildMdlForNonPagedPool(v3);
v5 = MemoryDescriptorList;
v5->MdlFlags |= 1u;
BaseAddress = MmMapLockedPages(v5, 0);
*((_DWORD *)BaseAddress + *((_DWORD *)((char *)&ZwLoadDriver + 1)) = avg_zwLoadDriver;
*((_DWORD *)BaseAddress + *((_DWORD *)((char *)&ZwSetSystemInformation + 1)) = avg_zwSetSystemInformation;
*((_DWORD *)BaseAddress + *((_DWORD *)((char *)&ZwSetValueKey + 1)) = avg_zwSetValueKey;
*((_DWORD *)BaseAddress + *((_DWORD *)((char *)&ZwReadFile + 1)) = avg_zwReadFile;
::DeviceObject = (PDEVICE_OBJECT)find_disk_dev_obj();
if ( !::DeviceObject )
    return v8;

int __stdcall avg_zwSetSystemInformation(int a1, int a2, int a3)
{
    int result; // eax@5

    if ( a1 == 38 && a2 && *((_DWORD *)(a2 + 4)) && cmp_kill_av_sys_list(*(const wchar_t **)(a2 + 4)) )
        result = -1073741790;
    else
        result = dword_401BC8(a1, a2, a3);
    return result;
}

.data:00401A20 kill_avsys_list dd offset aKsapi_sys ; DATA XREF: cmp_kill_av_sys_list+53Tr
.data:00401A20 ; "ksapi.sys"
.data:00401A24 dd offset aKisknl_sys ; "kisknl.sys"
.data:00401A28 dd offset aSkvkrpr_sys ; "skvkrpr.sys"
.data:00401A2C dd offset aMinidb_sys ; "minidb.sys"
.data:00401A30 dd offset aBc_sys ; "bc.sys"
.data:00401A34 dd offset aBapidrv_sys ; "bapidrv.sys"
.data:00401A38 dd offset aBeepnbr_sys ; "beepnbr.sys"
.data:00401A3C dd offset aFindandfixbios ; "findandfixbiosvirus.sys"

```

Fig. 31 kill AV services

5. Phanta 5 stores original MBR, boot loader, fake *sfc\_os.dll* and *x\_random.sys* at the end of disk partition, without encryption. Below is Phanta 5's boot process.

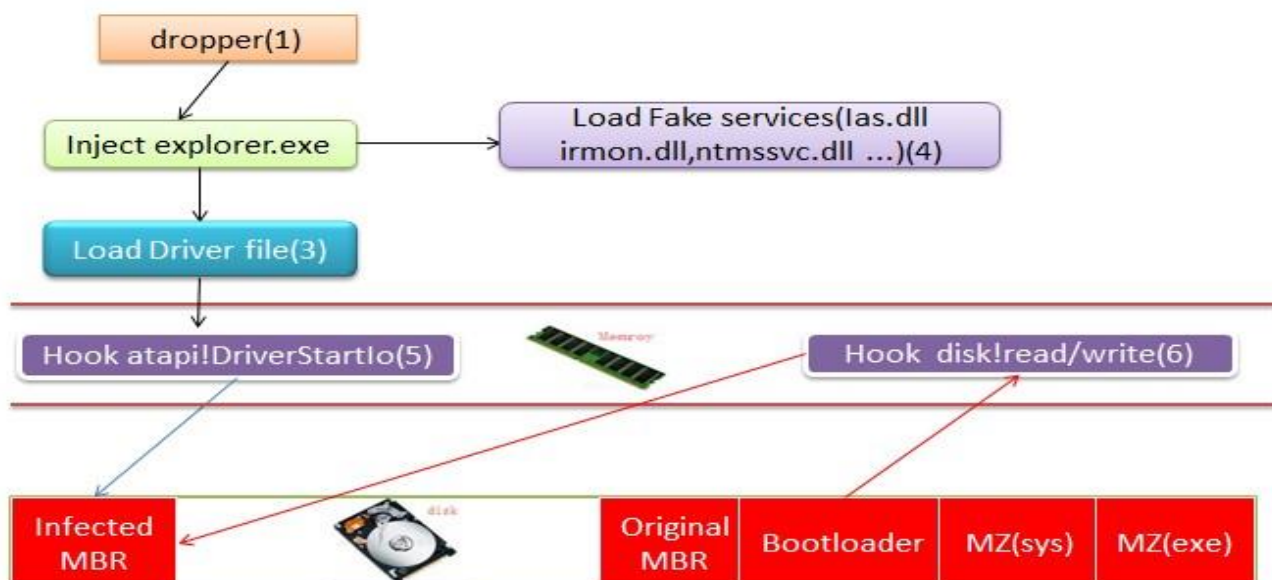


Fig. 32 Phanta 5 boot process

## 2. Bootkit in China

Chinese bootkit has developed for some time. Early in May 2007, the Chinese developer, *icelord*, released a tool, named ICLord Bioskit [4], which could infect Award main board. In November 2008, the developer, *inghu*, published a bootkit idea to patch *ntldr*. The Chinese researcher, *mj0011*, published bootkit *tophet*[5] in Xcon2008. But all these are only technology researches. Bootkit viruses didn't spread widely until March 2010. And afterwards, Chinese bootkit entered a period of development. So below sections will describe the characteristics of bootkit viruses in China.

**2.1 Anti-static-detection for MBR** In order to prevent detecting malicious MBR, bootkit viruses are always looking for new methods. Phanta 1 has tiny improvements. It no longer operates BIOS's data at address 0x413 directly. Instead, it substitutes the equivalent instructions to achieve the same goal.

```
seg000:7C22 FC
seg000:7C23 8E DB
seg000:7C25 BE 33 05
seg000:7C28 81 F6 20 01
seg000:7C2C AD
seg000:7C2D 83 EE 02
seg000:7C30 C1 E0 06
seg000:7C33 25 FF 0F
seg000:7C36 C1 E8 06
seg000:7C39 29 04
seg000:7C3B 66 31 C0
seg000:7C3E B8 00 97
seg000:7C41 8E C0

cid
mov ds, bx
mov si, 533h
xor si, 120h
lodsw
sub si, 2
shl ax, 6
and ax, 0FFFh
shr ax, 6
sub [si], ax
xor eax, eax
mov ax, 9700h
mov es, ax
```

si = 0x413

Fig. 33 0x413 substitution

Phanta 2 and Phanta 3 insert junk codes to interfere analysis. Also their malicious MBR and virus data are encrypted.

```
seg000:7C00
seg000:7C00
seg000:7C02
seg000:7C04
seg000:7C04 loc_7C04:
seg000:7C04
seg000:7C04
seg000:7C06
seg000:7C08
seg000:7C10
seg000:7C15
seg000:7C1F
seg000:7C25
seg000:7C27
seg000:7C28
seg000:7C2D

assume es:nothing, ss:nothing, ds:nothing, fs:nothing, gs:nothing
jb short near ptr loc_7C04+1
jnb short near ptr loc_7C04+1
; CODE XREF: seg000:7C00fj
; seg000:7C021j
or bh, dl
mov word ptr cs:600h, es
mov cs:602h, sp
mov word ptr cs:604h, ss
mov dword ptr cs:7BFCh, 7C00h
lss sp, cs:7BFCh
pushad
push ds
mov bx, cs:413h
sub bx, 1Eh
```

Fig. 32 junk code in Phanta 2/3 MBR

Phanta 5 doesn't hook *int 13h* interruption as other bootkits do. Instead, it repeatedly calls a function *cs:dword\_2580*.

```

seg000:3B29 call_9:                                ; CODE XREF: call_6+35↑j
seg000:3B29          push  ds
seg000:3B2A          push  ss
seg000:3B2B          pop   ds
seg000:3B2C          pushf
seg000:3B2D          call  cs:dword_2580
seg000:3B32          pop   ds
seg000:3B33          lea  sp, [si+10h]
seg000:3B36          jnb  short call_11
seg000:3B38          mov  al, [bp+arg_2]
seg000:3B3B          mov  ah, 0
seg000:3B3D          mov  dx, ax
seg000:3B3F          xor  ax, ax
seg000:3B41          pushf
seg000:3B42          call  cs:dword_2580
seg000:3B47          jmp  short loc_3B40

```

Fig. 33 Phanta 5 calls *cs:dword\_2580* repeatedly

But the beginning of the function *cs:dword\_2580* is incorrect.

```

seg000:2580 dword_2580      dd 0                                ; DATA XREF: seg000:loc_2621↓r
seg000:2580                                ; call_6+3E↓r ...
seg000:2584          db  1
seg000:2585          db  0
seg000:2586          db  0
seg000:2587          db  0
seg000:2588          ; -----
seg000:2588          push bp
seg000:2589          mov  bp, sp
seg000:258B          push word ptr [bp+6]
seg000:258E          pop  cs:word_256B
seg000:2593          push word ptr [bp+4]
seg000:2596          pop  cs:word_2567
seg000:2598          push word ptr [bp+2]

```

Fig. 34 begginging of *cs:dword\_2580*

The truth is while running, Phanta 5 overwrites the first 8 bytes of *cs:dword\_2580* with 0xe3fe and 0xf000 which stand for *int 13h* interruption function's original address in BIOS.

```

<bochs:205> u 0x90:0x2580 0x90:0x25a0
00002e80: <  >: (invalid) ; fee3
00002e82: <  >: add al, dh ; 00f0
00002e84: <  >: add word ptr ds:[bx+si], ax ; 0100
00002e86: <  >: add byte ptr ds:[bx+si], al ; 0000
00002e88: <  >: push bp ; 55
00002e89: <  >: mov bp, sp ; 8bec
00002e8b: <  >: push word ptr ss:[bp+6] ; ff7606
00002e8e: <  >: pop word ptr cs:0x256b ; 2e8f066b25
00002e93: <  >: push word ptr ss:[bp+4] ; ff7604
00002e96: <  >: pop word ptr cs:0x2567 ; 2e8f066725
00002e9b: <  >: push word ptr ss:[bp+2] ; ff7602
00002e9e: <  >: pop word ptr cs:0x2569 ; 2e8f066925

[bochs]:
0x00000000000002e80 <bogus+ ip 0>: 0xe3fe 0xf000 0x0001 0x0000 0x8b55
0x00000000000002e90 <bogus+ 16>: 0x6b06 0xff25

```

Fig. 35 *cs:dword\_2580* while running

**2.2 Virus data storage** Both Phanta 1 and Phanta 2 store their virus data in the first 63 sectors of disk. The only difference is that Phanta 2 encrypts the data before writing.

Phanta 3 stores its virus data at the end of disk with encryption.

Phanta 5 also puts its virus modules at end of disk but without encryption.

We could see that Chinese bootkit virus authors' data protection consciousness is not that strong. They prefer to protect their 'babies' by driver rather than designing custom file system as TDL-4 does.

**2.3 Self-protection** Phanta 1 installs several filter callback functions by calling *PsLoadImageNotifyRoutine*, *PsCreateProcessNotifyRoutine* and *PsCreateThreadNotifyRoutine*. Then enumerate processes to kill AV.

Phanta 2 also kills AV. It hooks *PsLoadImageNotifyRoutine*. When a kernel module is being loaded, Phanta 2 checks the module's digital signature whether the module is an AV module. If yes, Phanta 2 patches the module's entry point and make it return failure.

Phanta 3 protects MBR by hooking *DriverStartIo* dispatch routine of Atapi/SCSI driver.

```

DriverEntry: f9d149f7
DriverStartIo: f9d95010 hello_tt virus hook, protect MBR
DriverUnload: f98103d6
AddDevice: f980e47c

Dispatch routines:
[00] IRP_MJ_CREATE f98096f2 +0xf98096f2
[01] IRP_MJ_CREATE_NAMED_PIPE 804f454a nt!IopInvalidDeviceRequest
[02] IRP_MJ_CLOSE f98096f2 +0xf98096f2
[03] IRP_MJ_READ 804f454a nt!IopInvalidDeviceRequest
[04] IRP_MJ_WRITE 804f454a nt!IopInvalidDeviceRequest
[05] IRP_MJ_QUERY_INFORMATION 804f454a nt!IopInvalidDeviceRequest
[06] IRP_MJ_SET_INFORMATION 804f454a nt!IopInvalidDeviceRequest
[07] IRP_MJ_QUERY_EA 804f454a nt!IopInvalidDeviceRequest
[08] IRP_MJ_SET_EA 804f454a nt!IopInvalidDeviceRequest
[09] IRP_MJ_FLUSH_BUFFERS 804f454a nt!IopInvalidDeviceRequest
[0a] IRP_MJ_QUERY_VOLUME_INFORMATION 804f454a nt!IopInvalidDeviceRequest
[0b] IRP_MJ_SET_VOLUME_INFORMATION 804f454a nt!IopInvalidDeviceRequest
[0c] IRP_MJ_DIRECTORY_CONTROL 804f454a nt!IopInvalidDeviceRequest
[0d] IRP_MJ_FILE_SYSTEM_CONTROL 804f454a nt!IopInvalidDeviceRequest
[0e] IRP_MJ_DEVICE_CONTROL f9809712 +0xf9809712
[0f] IRP_MJ_INTERNAL_DEVICE_CONTROL f9805852 +0xf9805852
[10] IRP_MJ_SHUTDOWN 804f454a nt!IopInvalidDeviceRequest
[11] IRP_MJ_LOCK_CONTROL 804f454a nt!IopInvalidDeviceRequest
[12] IRP_MJ_CLEANUP 804f454a nt!IopInvalidDeviceRequest
[13] IRP_MJ_CREATE_MAILSLOT 804f454a nt!IopInvalidDeviceRequest
[14] IRP_MJ_QUERY_SECURITY 804f454a nt!IopInvalidDeviceRequest
[15] IRP_MJ_SET_SECURITY 804f454a nt!IopInvalidDeviceRequest
[16] IRP_MJ_POWER f980973c +0xf980973c
[17] IRP_MJ_SYSTEM_CONTROL f9810396 +0xf9810396
[18] IRP_MJ_DEVICE_CHANGE 804f454a nt!IopInvalidDeviceRequest
[19] IRP_MJ_QUERY_QUOTA 804f454a nt!IopInvalidDeviceRequest
[1a] IRP_MJ_SET_QUOTA 804f454a nt!IopInvalidDeviceRequest
[1b] IRP_MJ_PNP f9810302 +0xf9810302

kd> u f9d95010
hello_tt+0x1010:
f9d95010 8bf1 mov edi,edi
f9d95012 55 push ebp
f9d95013 8bec mov ebp,esp
f9d95015 83ec30 sub esp,30h
f9d95018 8b450c mov eax,dword ptr [ebp+0Ch]
f9d9501b 50 push eax
f9d9501c e85f020000 call hello_tt+0x1280 (f9d95280)
f9d95021 8945f0 mov dword ptr [ebp-10h],eax

```

Fig. 36 Phanta 3 hooks *DriverStartIo*

Phanta 4 uses malicious BIOS rom to protect MBR.

Phanta 5 prevents AV driver from loading. (Fig. 31) And it protects MBR doubly.

97	NtLoadDriver	0xF8ABBF2	ssdt hook	0x8057932A	C:\WINDOWS\system32\drivers\22BF23CD.sys
183	NtReadFile	0xF8ABAB95	ssdt hook	0x80571618	C:\WINDOWS\system32\drivers\22BF23CD.sys
240	NtSetSystemInformation	0xF8ABAA83	ssdt hook	0x8060568C	C:\WINDOWS\system32\drivers\22BF23CD.sys
247	NtSetValueKey	0xF8ABAB10	ssdt hook	0x80618292	C:\WINDOWS\system32\drivers\22BF23CD.sys
23	IRP_MJ_SYSTEM_CONTROL	0xF850E164	-	0xF850E164	C:\WINDOWS\system32\drivers\atapi.sys
24	IRP_MJ_DEVICE_CHANGE	0x804F420E	-	0x804F420E	C:\WINDOWS\system32\ntkrnlpa.exe
25	IRP_MJ_QUERY_QUOTA	0x804F420E	-	0x804F420E	C:\WINDOWS\system32\ntkrnlpa.exe
26	IRP_MJ_SET_QUOTA	0x804F420E	-	0x804F420E	C:\WINDOWS\system32\ntkrnlpa.exe
27	IRP_MJ_PNP_POWER	0xF850E130	-	0xF850E130	C:\WINDOWS\system32\drivers\atapi.sys
28	DriverStartIo	0xF8ABADA3	atapi hook	0xF85047C6	C:\WINDOWS\system32\drivers\22BF23CD.sys
1	IRP_MJ_CREATE_NAMED_...	0x804F420E	-	0x804F420E	C:\WINDOWS\system32\ntkrnlpa.exe
2	IRP_MJ_CLOSE	0xF86E0C30	-	0xF86E0C30	C:\WINDOWS\system32\DRIVERS\CLASSPNP.SYS
3	IRP_MJ_READ	0x82181066	disk hook	0xF86DAD9B	
4	IRP_MJ_WRITE	0x82181066	disk hook	0xF86DAD9B	
5	IRP_MJ_QUERY_INFORMA...	0x804F420E	-	0x804F420E	C:\WINDOWS\system32\ntkrnlpa.exe
6	IRP_MJ_SET_INFORMATION	0x804F420E	-	0x804F420E	C:\WINDOWS\system32\ntkrnlpa.exe

Fig. 37 double protection for MBR

**2.4 Interesting findings** From above aspects, we can see that Chinese bootkits virus authors are making efforts to do better. They learnt from other bootkits and improved their own.

During analysis for Phanta 4, we found that Phanta 4 drew ICLord's way to infect BIOS.

<pre>void __stdcall sub_408E20(int a1, int a2) {   __int16 _DX; // dx@1   char _AL; // al@1   DX = word_41351C;   _AL = 47;   _asm   {     out dx, al     out 0EBh, al     out 0EBh, al     out 0EBh, al     out 0EBh, al     out 0EBh, al   }   dword_41AF4C = 0x24534D49u; }</pre>	<pre>signed int __stdcall call_flash_rom(int a1, int a2) {   __int16 _DX; // dx@1   char _AL; // al@1   signed int result; // eax@1   _DX = SMI_PORT;   _AL = 47;   _asm   {     out dx, al     out 0EBh, al     out 0EBh, al     out 0EBh, al     out 0EBh, al     out 0EBh, al   }   // 0x24534D49----&gt; \$SMI   dword_13008 = 0x24534D49u;   if ( dword_13008 == 1397573924 )     result = 16;   else     result = 0;   return result; }</pre>
--	---

Fig. 38 contrast between ICLord and Phanta 4.

One thing similar happened in Phanta 5. We found earlier Phanta 5's code to parse FAT/NTFS file system is very similar to Stoned Bootkit's open source.[6]

<pre>seg000:0BDD sub_BDD      proc near          ; CODE XREF: sub_B66+15Tp seg000:0BDD                                     ; sub_C04+21jp seg000:0BDD movzx  eax, word ptr ds:6011h seg000:0BE3 shl   eax, 5 seg000:0BE7 or    eax, eax seg000:0BEA jz    short locret_C03 seg000:0BEC movzx  edx, word ptr ds:600Bh seg000:0BF2 dec   edx seg000:0BF4 add   eax, edx seg000:0BF7 xor   edx, edx seg000:0BFA movzx  ebx, word ptr ds:600Bh seg000:0C00 div   ebx seg000:0C03 locret_C03:      ; CODE XREF: sub_BDD+D7j seg000:0C03 retn seg000:0C03 sub_BDD      endp</pre>	<pre>Get_Root_Dir_Sectors: ; (BPB_RootEntCnt * 32) movzx  eax, word [Sector_Buffer+17] shl   eax, 5 ; eax = zero ? (only on FAT32 drives) or    eax, eax jz    Get_Root_Dir_Sectors_Exit ; (BPB_RootEntCnt * 32) + (BPB_BytsPerSec - 1) movzx  edx, word [Sector_Buffer+11] dec   edx add   eax, edx ; ((BPB_RootEntCnt * 32) + (BPB_BytsPerSec - 1) xor   edx, edx movzx  ebx, word [Sector_Buffer+11] div   ebx Get_Root_Dir_Sectors_Exit: ret</pre>
---	--

Fig. 39 contrast between Phanta 5 and Stoned Bootkit

But soon, we found newer Phanta 5 removed this code block. Instead, it uses another way to parse file system. We're not sure whether it's original. But it's better indeed.

```

seg000:4F10 loc_4F10: ; CODE XREF: call_16_read_DBR+95↑j
seg000:4F10      push    5
seg000:4F12      push    ds
seg000:4F13      push    offset aFat16 ; "FAT16"
seg000:4F16      push    ss
seg000:4F17      lea    ax, [bp+var_1CA]
seg000:4F1B      push    ax
seg000:4F1C      call   call_17_comp_string
seg000:4F1F      add    sp, 0Ah
seg000:4EE0      push    ds
seg000:4EE1      push    offset aFat32 ; "FAT32"
seg000:4EE4      push    ss
seg000:4EE5      lea    ax, [bp+var_1AE]
seg000:4EE9      push    ax
seg000:4EEA      call   call_17_comp_string
seg000:4EAE      push    ds
seg000:4EAF      push    offset aNtfs ; "NTFS"
seg000:4EB2      push    ss
seg000:4EB3      lea    ax, [bp+var_1FD]
seg000:4EB7      push    ax
seg000:4EB8      call   call_17_comp_string
seg000:4EBB      add    sp, 0Ah
seg000:4EBE      or     ax, ax

```

Fig. 40 new code snippet to parse file system in Phanta 5

### 3 Windows bootkit attack trend forecast

In recent years, bootkit had continuous improvements on means of attack. The improvements specifically embody in below aspects:

**3.1 Hardware level infection** Starting from eEye's BootRoot project, BIOS infection is not generated as a concept. Afterwards, more researches were stimulated in this direction. *Peter Kleissner* demonstrated using bootkit to bypass Windows 8's UAC in MalCon Assembly in November 2011. Although the targeted Windows 8 system is booted based on BIOS, this indicates that traditional bootkit threat won't die before we enter the UEFI era.

On the other hand, researchers and hackers have never stopped the discussion on UEFI security. In 2012, we saw several technological breakthroughs, such as *Loukas's* EFI Rootkit for Mac in Black Hat USA 2012, *Jonathan Brossard's* UEFI rootkit, Rakshasa. These provide the basis of underlying technology for the development of bootkit. When the time comes, they will be transformed into the reality of attacks.

**3.2 Obfuscation in 16-bit boot loader** In order to escape static detection, bootkits began to obfuscate their boot loaders, such as encryption, inserting junk code, etc. Rovnix.b's boot loader is polymorphic.

```

seg000:07E4 run_obs_code proc near ; CODE XREF: hook_int13+5CTp
seg000:07E4 push ax
seg000:07E5 test bp, bp
seg000:07E7 push 0FC20h
seg000:07EA xor ax, ax
seg000:07EC pop ax
seg000:07ED jnz short loc_812
seg000:07EF push ds
seg000:07F0 push si
seg000:07F2 push bp
seg000:07F2 mov bp, sp ; sp -- 7bd6
seg000:07F4 push cs
seg000:07F5 pop ds
seg000:07F6 mov si, [bp+8] ; bp + 8 ==> ss:7be4 /7bde
seg000:07F9 inc word ptr [bp+8]
seg000:07FC movzx si, byte ptr [si]
seg000:07FF shl si, 1
seg000:0801 add si, ax
seg000:0803 add si, [bp+0]
seg000:0806 mov ax, [si] ; si = 9F424
seg000:0808 add ax, [bp+0]
seg000:080B xchg ax, [bp+6]
seg000:080E pop bp
seg000:080F pop si
seg000:0810 pop ds
seg000:0811 retn

loc_558:
seg000:0558 mov al, 0Fh
seg000:0558 repne scasb
seg000:055D jcxz short loc_573
seg000:0561 mov eax, es:[di]
seg000:0565 cmp eax, 00B87C022h
seg000:0568 jnz short loc_558
seg000:056D mov bp, 7E4h
seg000:0570 call bp ; run_obs_code
seg000:0572 push cs

```

Fig. 41 Rovnix.b's boot loader code

Take a look at function *run\_obs\_code*. You could see the inside *push* and *pop* instructions don't match. The number of *push* is one more than *pop*. So when *ret* is executed, the flow will not go to the next instruction after *run\_obs\_code*. We got troubles while debugging before we were aware of this traps.

Phanta 5 seems to draw this experience. Although Phanta 5's boot loader code is not polymorphic, the confusing *jmp* instructions indeed make analysis more difficult.

**3.3 Protection of virus data** In order to strengthen protection of virus data, TDL-4 designed its own file system. Except malicious MBR, all the other modules of TDL-4 are stored in its custom file system.

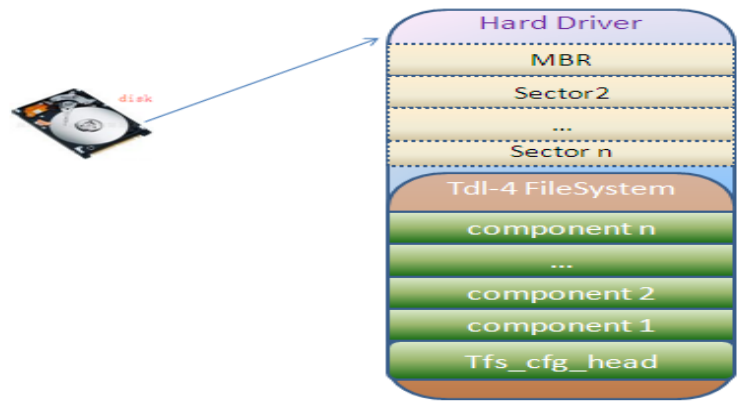


Fig. 42 TDL-4's file system

After wards, we could see the similar way is widely used in newly coming bootkit viruses. Bootkit could make this even more complicated, because this only depends on the strength of encryption algorithm and the complexity of the file structure. Theoretically, any kernel module could be put into this file system. It's up to bootkit to decide when and which to load. If so, this



will be the worst thing.

## 4 Problems of prevention and detection

The biggest difference between bootkit virus and other types of virus is that bootkit virus obtains control earlier than Windows. Thus, it could make any change to the system at the same time hiding itself. Once a bootkit is installed successfully, the subsequent cleanup work will be very complicated.

The prevention of bootkit includes protecting disk's reading and writing, monitoring driver loading. Most AVs already paid attention to these aspects. But bootkit authors are keeping digging the weakness and missing corners of security tools. This also becomes one of the defense problems.

**4.1 Dangerous API** Current HIPS systems are based on the trust mechanism of process chain, meaning that if a process is to be trusted, any operation of this process is trusted, including creating a new child process. TDL-4 uses *AddPrintProvider* to load its virus driver because the printer process *spoolsv.exe* is trusted.

Also in Phanta 5, we saw the use of 'vulnerability' of functions *LoadKeyboardLayoutA* and *ZwQueryValueKey*. When we call *PostMessage* to post a *WM\_INPUTLANGCHANGEREQUEST* message to *explorer's* window, *explorer* will load a new keyboard layout. Phanta 5 hooks *ZwQueryValueKey* to modify the IME file which *explorer* is to load. Thus, *explorer* loads a virus module. As *explorer.exe* is a trusted process, Phanta 5 could do anything in *explorer's* memory, including loading virus driver.

These three functions have one thing in common. Although they're only called in their own processes, they affect the whole system. We name them 'dangerous API'. Finding the vulnerabilities of dangerous APIs is the easiest way to bypass HIPS.

**4.2 Alternative penetration of disk** Protection of disk's boot section has already attracted the attention of many security tools. HIPS tools also monitor disk's reading and writing operations by checking the access to path `\\.\PhysicalDrive0` or `\DEVICE\HARDDISK\DR0`.

But recently we found a new way to bypass such protection. First you send a *SCSI\_PASS\_THROUGH* instruction to the disk, which is a standard SCSI instruction. When current physical disk's corresponding bus device symbol link is found, you need to fill in the *SCSI\_PASS\_THROUGH* structure and send a *DeviceIoControl* code, `0x4D014`, which stands for *METHOD\_BUFFERED*, to disk driver. Then you could bypass above disk protection approaches and modify the disk.



```

0012FAFC 0042F043 |CALL to CreateFileW from 1123.0042F03D
0012FB00 00496610 |FileName = "\\.\SCSI#Disk&Ven_VHware_&Prod_VHware_Virtual_S&Rev_1.0#4&5fcaafc&0&000#(53f56307-b6bf-11d0-94f2-00a0c91efb8b)\"
0012FB04 10000000 |Access = GENERIC_ALL
0012FB08 00000001 |ShareMode = FILE_SHARE_READ
0012FB0C 00000000 |pSecurity = NULL
0012FB10 00000003 |Mode = OPEN_EXISTING
0012FB14 00000000 |Attributes = 0
0012FB18 00000000 |hTemplateFile = NULL
0012FB1C 7C930208 |ntdll.7C930208

0012F98C 0042EDEF |CALL to DeviceIoControl from 1123.0042EDE9
0012F990 00000050 |hDevice = 00000050 (window)
0012F994 0004D014 |IoControlCode = 4D014
0012F998 0012FAA4 |InBuffer = 0012FAA4
0012F99C 00000050 |InBufferSize = 50 (80.)
0012F9A0 0012FAA4 |OutBuffer = 0012FAA4
0012F9A4 00000050 |OutBufferSize = 50 (80.)
0012F9A8 0012F98C |pBytesReturned = 0012F98C
0012F9AC 00000000 |pOverlapped = NULL

```

Fig. 43 bypass disk protection

During our tests, most HIPS tools could not prevent such attack.

**4.3 Once again-What’s bootkit?** Above we described several complicated bootkit families. We mentioned their development and their differences. We also predict their development trend. Now we want to raise the question again. How to define a bootkit’s technical characteristic?

We believe that a bootkit overall consists of three stages.

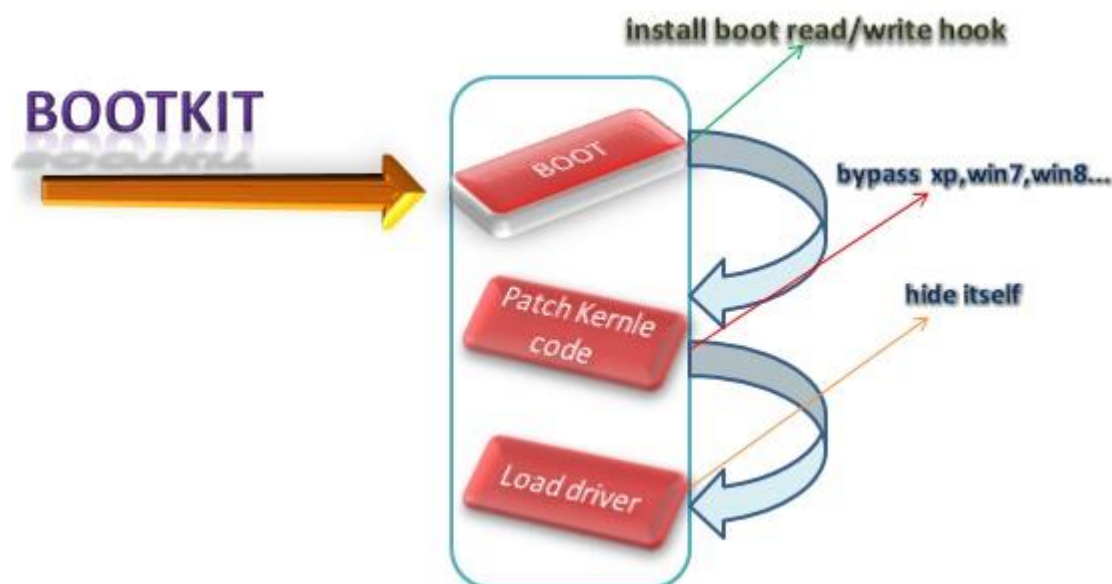


Fig. 44 Bootkit composition

*Boot* stage’s purpose is to obtain control before system startups. It might lie in UEFI, BIOS, MBR, VBR, Bootstrap code, *ntldr*, *bootmgr*, and etc.

*Patch kernel code* stage is mainly to bypass system protection and load virus driver. Searching where to patch is just like looking for *Zero Day* vulnerabilities in system kernel. Although we saw several different kinds of bootkit family, their boot process have many similarities. Bootkit authors do not want to spend their time on digging where to patch, as long as one stable patching way is enough.

*Load driver* stage is easy to understand. Once the kernel is patched, bootkit could load its virus driver in kernel. Thus virus driver is loaded earlier than other drivers.

## Summary

We believe bootkit threat will still continue to persist and evolve. Meanwhile, as the cost of developing a stable bootkit virus family is much higher than other types of virus, we guess there won't be many new bootkit families coming out. And we believe Secure Boot or UEFI would relieve bootkit attack. Currently, our terminal defense system has inherent weakness. Client's AV products could not protect both software and hardware. Even the cleanup work for bootkit could not be put into AV's engine. So we advise to back up the core data in system boot phase plus defense in application layer.

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